

# Specifying Peirce's Law in Classical Realizability

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## Peirce's Law and Classical Realizability

- In 1990 Griffin discovered that call/cc could be given the type corresponding to Peirce's Law:

$$((A \Rightarrow B) \Rightarrow A) \Rightarrow A$$

- This discovery gave a direct computational interpretation of classical reasoning (as opposed to negative translations)
- Some classical  $\lambda$ -calculi:
  - Parigot's  $\lambda\mu$ -calculus.
  - Barbanera & Berardi's Symmetric  $\lambda$  calculus.
  - Curien & Herbelin's  $\bar{\lambda}\mu$  calculus.
  - Krivine's  $\lambda_c$  calculus.

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# The language of classical realizers

## The $\lambda_c$ -calculus

Terms:  $\Lambda$ )  $t, u ::= x \mid \lambda x t \mid tu \mid cc \mid k_\pi$   
 Stacks:  $\Pi$ )  $\pi ::= \alpha \mid t.\pi$   
 $\Lambda \star \Pi$ )  $\rho, \rho' ::= t \star \pi$

## Evaluation rules

(PUSH)  $tu \star \pi \Upsilon \quad t \star u.\pi$   
 (GRAB)  $\lambda x t \star u.\pi \Upsilon \quad t\{x := u\} \star \pi$   
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## The language of classical realizers (cont.)

### Some extra instructions

$$(EQ) \quad eq \star t_1.t_2.u.v.\pi \quad \gamma \quad \begin{cases} u \star \pi & \mathbf{If} \ t_1 \equiv t_2 \\ v \star \pi & \mathbf{Otherwise} \end{cases}$$

$$(FORK) \quad \uparrow \star t_1.t_2.\pi \quad \gamma \quad \begin{cases} t_1 \star \pi \\ t_2 \star \pi \end{cases}$$

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## The language of 2nd order arithmetic (PA2)

- ① Language of *first order expressions and formulæ*:

$$e ::= x \mid f e_1 \dots e_k$$

$$A, B ::= X e_1 \dots e_k \mid A \Rightarrow B \mid \forall x A \mid \forall X A$$

- ② Language of *parametrical formulæ*:

$$A, B ::= \dots \mid \dot{F} e_1 \dots e_k$$

for each falsity function  $F : \mathbb{N}^k \rightarrow \mathcal{P}(\Pi)$

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## Typing rules:

$$\frac{}{\Gamma, x : A \vdash x : A}$$

$$\frac{\Gamma, x : A \vdash t : B}{\Gamma \vdash \lambda x t : A \Rightarrow B}$$

$$\frac{\Gamma \vdash t : A \Rightarrow B \quad \Gamma \vdash u : A}{\Gamma \vdash tu : B}$$

$$\frac{\Gamma \vdash t : A}{\Gamma \vdash t : \forall x A} \quad x \notin \text{FV}(\Gamma)$$

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# Krivine's Realizability semantics

- Definition parameterized by a *saturated set of processes*  $\perp\!\!\!\perp$ .
- This set defines a contravariant function from sets of stacks to sets of terms:

$$S \mapsto S^{\perp\!\!\!\perp}$$

$$(-)^{\perp\!\!\!\perp} : \mathcal{P}(\Pi) \rightarrow \mathcal{P}(\Lambda)$$

$$S^{\perp\!\!\!\perp} := \{t \in \Lambda \mid \forall \pi \in S \ t \star \pi \in \perp\!\!\!\perp\}$$

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## Krivine's Realizability semantics (cont.)

There are two sets associated to each *closed parametrical formula*  $A$ :

- *Truth value*  $|A|$
- *Falsity value*  $\|A\|$

Truth values and falsity values are related by:  $|A| = \|\|A\|\|$ .

Definition of the relation  $\Vdash$ :

$$t \Vdash A \quad \text{iff} \quad t \in |A|$$

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### The falsity values:

- Expressions are interpreted as natural numbers (as in model theory).
- $k$ -ary second-order variables are interpreted as  $k$ -ary second-order parameters. Therefore atomic formulæ are interpreted as falsity values.
- First and second order  $\forall$  are interpreted as unions:
  - $\forall x A(x) = \bigcup_{n \in \mathbb{N}} \|A(n)\|$
  - $\forall X A(X) = \bigcup_{F \in \mathcal{P}(\Pi)^{N^k}} \|A(\dot{F})\|$
- For implication we use ortogonality:

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## Krivine's Realizability semantics (cont.)

### Universal Realizers

A *universal realizer* for a parametrical formula  $A$  is a proof-like term  $t$  (i.e.: a term without  $k_\pi$ ) which realizes the formula  $A$  for all  $\perp\!\!\!\perp$ .

### Lemma

*Soundness*

**If**  $\vdash t : A$  *is provable in the type system* **then**  $t \Vdash A$

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### Remark

(LJ)+(Peirce's Law)      **iff**      (LK)

### Proposition

$cc \Vdash \forall X \forall Y ((X \Rightarrow Y) \Rightarrow X) \Rightarrow X$

### Consequence

Adding the following typing rule:

$$\frac{}{\Gamma \vdash cc : \forall X \forall Y ((X \Rightarrow Y) \Rightarrow X) \Rightarrow X}$$

the typing system obtained is the *classical second order logic* and it satisfies the *soundness lemma*.

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$$\|\forall X \forall Y ((X \Rightarrow Y) \Rightarrow X) \Rightarrow X\| = \|\forall X ((X \Rightarrow \perp) \Rightarrow X) \Rightarrow X\|$$

# The Specification Problem

## Main question

“Can we characterize the universal realizers of a given formula  $A$  from their computational behavior?”

Such a computational behavior is called *the Specification of  $A$* .

## Why (and where) the Specification problem is interesting?

In Intuitionistic Realizability, we can infer such a specification from the Realizability definition...

A familiar example

Consider a  $\Sigma_1^0$ -formula  $\exists x (f(x) = 0)$

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Intuitionistic

$t \Vdash \exists^{\text{Nat}} x (f(x) = 0)$  **iff**  $t \succ \langle n, \mathbf{I} \rangle$

where  $\mathbb{N} \models f(n) = 0$



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#### Intuitionistic

$t \Vdash \exists^{\text{Nat}} x (f(x) = 0)$  **iff**  $t \succ \langle n, \mathbf{1} \rangle$   
where  $\mathbb{N} \models f(n) = 0$

#### Classical

$t \Vdash \exists^{\text{Nat}} x (f(x) = 0)$  **iff**  
 $t$  computes a *winning strategy*  
for a game with backtracking.

# The identity Type

## Theorem

*The following statements are equivalent:*

- 1  $t \Vdash \forall X (X \Rightarrow X)$
- 2 For all  $u \in \Lambda$  and  $\pi \in \Pi$   $t \star u.\pi \succ u \star \pi$

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↓ Consider  $\perp := \{p \mid p \succ u \star \pi\}$ . Hence  $u \Vdash \{\pi\}$  and we have the result because  $t \Vdash \{\pi\} \Rightarrow \{\pi\}$ . □

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 $p \succ_1 p'$  implies  $p\{K := u\} \succ_1 p'\{K := u\}$ .



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Similar definitions are given for **substitutive** and **non generative stack constants**.

## Remark

- Substitutive constants are compatible with the *basic rules*  
(PUSH), (GRAB), (SAVE), (RESTORE)
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## eq is incompatible with substitutive constants

A process containing eq and an inert constant  $K$

$$\text{eq} \star K . \mathbf{1} . \delta\delta\mathbf{0} . \delta\delta\mathbf{1} . \pi \succ \delta \star \delta . \mathbf{1} . \pi$$

Applying  $\{K := \mathbf{1}\}$ , we obtain

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Consequence

**quote** is also incompatible with substitutive constants since **eq** can be programed in terms of **quote**.

# The realizers $C_{n,p}$



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Consider  $n, p$  such that  $n \geq p \geq 1$  and a  $\lambda$ -term  $C_{n,p}$  with the following behavior:

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$K_{n,p}^n$  puts the  $p$ -th  $u_j$  in head position and restores the initial stack  $\pi_0$ .

## The realizers $C_{n,p}$ (cont.)

### Proposition

*The terms  $C_{n,p}$  are universal realizers of Peirce's Law.*

## The realizers $C_{n,p}$ (cont.)

### Proposition

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### Question:

Are there other universal realizers for Peirce's Law?

# The game $\mathbb{G}_0$

$\ell$  ( $\forall$  played moves) |  $\exists$        $\forall$

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 $t_0$

# The game $\mathbb{G}_0$

$$\ell (\forall \text{ played moves}) \quad \left| \quad \begin{array}{l} \exists \\ t_0 \end{array} \quad \begin{array}{l} \forall \\ u_0 \cdot \pi_0 \end{array}$$

## The game $\mathbb{G}_0$

$\ell$ ( $\forall$ played moves)	$\exists$	$\forall$
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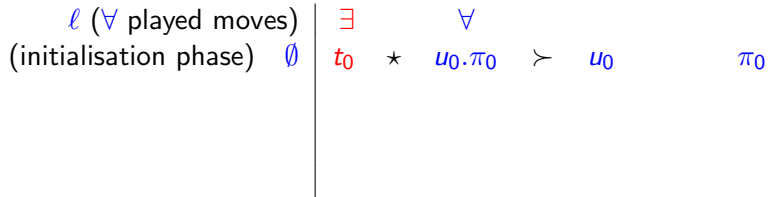
$$\begin{array}{l|l}
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 & \forall
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# The game $\mathbb{G}_0$

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 \end{array}
 \left|
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 \exists \\
 t_0 \quad \star \quad u_0 \cdot \pi_0 \\
 t_1
 \end{array}
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$\ell$ ( $\forall$ played moves)	$\exists$	$\forall$					
(initialisation phase) $\emptyset$	$t_0$	$\star$	$u_0.\pi_0$	$\Upsilon$	$u_0$	$\star$	$t_1.\pi_0$
$\{u_1.\pi_1\}$	$t_1$	$\star$	$u_1.\pi_1$	$\Upsilon$			



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$\vdots$	$\vdots$			$\vdots$			

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$\exists$  wins **iff** at any time, for some  $u_p \cdot \pi_p$  previous move, the process  $u_p \star \pi_0$  arrives on execution.



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## The game $\mathbb{G}_0$ (cont.)

$$\begin{array}{ccccccc}
 C_{n,p} & \star & u_0.\pi_0 & \Upsilon & u_0 & \star & K_{n,p}^1[u_0, \pi_0].\pi_0 \\
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### Remark

The terms  $C_{n,p}$  are *uniform* winning strategies for  $\mathbb{G}_0$ , in the sense that all plays have the very same structure:

- They all have the same length ( $2n+1$  moves)
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Syntactic definition of  $\mathbb{G}_0$

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### Syntactic definition of $\mathbb{G}_0$

We describe the states of  $\mathbb{G}_0$  by pairs  $\langle p, \ell \rangle$  where  $p \in \Lambda \star \Pi$  is the head of the current thread and  $\ell \subseteq \Pi$  is the set of  $\forall$ -moves.

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Given a stack  $u_0.\pi_0$ , we define the set  $W_{u_0.\pi_0}$  of *winning states* as follows:



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$$\frac{}{\langle p, \ell \rangle \in W_{u_0.\pi_0}} \quad (\text{if } p \succ u \star \pi_0 \text{ for some } u.\pi \in \ell)$$

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We describe the states of  $\mathbb{G}_0$  by pairs  $\langle p, \ell \rangle$  where  $p \in \Lambda \star \Pi$  is the head of the current thread and  $\ell \subseteq \Pi$  is the set of  $\forall$ -moves.

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$$\frac{}{\langle p, \ell \rangle \in W_{u_0.\pi_0}} \quad (\text{if } p \succ u \star \pi_0 \text{ for some } u.\pi \in \ell)$$

$$\frac{\langle t \star u.\pi, \ell \cup \{u.\pi\} \rangle \in W_{u_0.\pi_0} \quad \text{for all } u.\pi \in \Pi}{\langle p, \ell \rangle \in W_{u_0.\pi_0}} \quad (\text{if } p \succ u_0 \star t.\pi_0)$$

## The game $\mathbb{G}_0$ (cont.)

### Definition

A closed  $\lambda$ -term  $t_0$  is a *winning strategy* for  $\mathbb{G}_0$  iff  
 $\langle t_0 \star u_0 \cdot \pi_0, \emptyset \rangle \in W_{u_0 \cdot \pi_0}$  for all stack  $u_0 \cdot \pi_0$

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## Proof.

Consider a pole  $\perp\!\!\!\perp$  and a falsity value  $\mathbb{X}$ . We must prove:

$$t_0 \Vdash (\neg \mathbb{X} \Rightarrow \mathbb{X}) \Rightarrow \mathbb{X}$$



## Adequacy (cont.)

Proof.

... Take  $u_0 \cdot \pi_0 \in \|\!(\neg \mathbb{X} \Rightarrow \mathbb{X}) \Rightarrow \mathbb{X}\!\|$



## Adequacy (cont.)

Proof.

... Take  $u_0.\pi_0 \in \|\!(\neg\mathbb{X} \Rightarrow \mathbb{X}) \Rightarrow \mathbb{X}\!\|$

Lemma

If  $\langle p, \ell \rangle \in W_{u_0.\pi_0}$  and  $\ell \subseteq \neg\mathbb{X}$  then  $p \in \perp\!\!\!\perp$ .



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**If**  $\langle p, \ell \rangle \in W_{u_0.\pi_0}$  **and**  $\ell \subseteq \neg\mathbb{X}$  **then**  $p \in \perp\!\!\!\perp$ .

Proof.

Induction on the derivation of  $\langle p, \ell \rangle \in W_{u_0.\pi_0}$  □

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Proof.

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**If**  $\langle p, \ell \rangle \in W_{u_0.\pi_0}$  **and**  $\ell \subseteq \neg\mathbb{X}$  **then**  $p \in \perp\!\!\!\perp$ .

Proof.

Induction on the derivation of  $\langle p, \ell \rangle \in W_{u_0.\pi_0}$  □

Since  $\langle t_0 \star u_0.\pi_0, \emptyset \rangle \in W_{u_0.\pi_0}$  we have the result. □

# Completeness

Proposition: Completeness of  $\Vdash$  w.r.t.  $\mathbb{G}_0$

Suppose the calculus of realizers contains:

- infinitely many interaction constants
- infinitely many substitutive and non generative stack constants

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Lemma

$t_0$  wins the game  $\mathbb{G}_0$  against  $\forall$  playing  $(k_i.\alpha_i)_{i \in \mathbb{N}}$



## Completeness (cont.)

Proof.

...

Proof.

By threads method:

...





## Completeness (cont.)

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Consider the sequence of the threads of the play

$$Q_0) \quad t_0 \star K_0.\alpha_0 \succ K_0 \star t_1.\alpha_0$$

$$Q_1) \quad t_1 \star K_1.\alpha_1 \succ K_0 \star t_2.\alpha_0$$

⋮

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Consider the sequence of the threads of the play

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$$Q_i) \quad t_i \quad * \quad K_i.\alpha_i \quad \gamma \quad \dots$$

$$Q_{i+1}) \quad \emptyset$$



...



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 & & & & \vdots & & & \\
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$$Q_{i+1}) \quad t_{i+1} \quad \star \quad K_{i+1}.\alpha_{i+1} \quad \dots$$

Define  $\perp\!\!\!\perp := (\bigcup_{i \in \mathbb{N}} Q_i)^c$

...



...



## Completeness (cont.)

Proof.

...

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- Consider  $\mathbb{X} := \{\alpha_0\}$ .
- $K_0 \not\Vdash \neg\mathbb{X} \Rightarrow \mathbb{X}$  since  $t_0 \Vdash (\neg\mathbb{X} \Rightarrow \mathbb{X}) \Rightarrow \mathbb{X}$  and  $t_0 * K_0.\alpha_0 \notin \perp$ .
- Then there is a term  $t \Vdash \neg\mathbb{X}$  s.t.  $K_0 * t.\alpha_0$  belongs to a thread, namely  $\mathcal{Q}_{p-1}$ .
- Therefore,  $\mathcal{Q}_p = \text{th}(t * K_p.\alpha_p)$ .
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- Moreover,  $K_i$ 's are *inert* and then the threads of this play are the following:



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⋮

$$\mathcal{Q}_{p-1}) \quad t_{i-1} \star K_{p-1}.\alpha_{p-1} \quad \succ \quad K_0 \star t_p.\alpha_0$$

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All plays played by  $t_0$  have the same length ( $n + 1$  threads) and chooses the  $p$ -th  $\forall$ -move to win.



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## Conclusion

*For a Krivine machine compatible with interaction instructions, all realizers of Peirce's Law are uniform w.s. for  $\mathbb{G}_0$ , i.e.: they have the same behaviour than a suitable  $C_{n,p}$ .*

Consider a term  $t$  such that for any stack  $u.\pi$ :

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$k$  satisfies for any stack  $u''.\pi''$ :

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Proof.

...

**Otherwise**, it suffices to prove:  $u \star k.\pi \in \perp\!\!\!\perp$  and for that, it suffices to prove  $k \Vdash \neg \mathbb{X}$ .

Consider  $u'' \Vdash \mathbb{X}$ . By assumption,  $u'' \not\equiv u'$  and hence  $k \star u''.\pi'' \succ u \star \pi$  which is in  $\perp\!\!\!\perp$  because  $u \Vdash \mathbb{X}$  and  $\pi \in \mathbb{X}$ . □

# How works a wild realizer?

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*The process  $u.\pi_0$  never arrives on execution in our discussion*

If  $u \star \pi_0$  was yet on execution, you know he's wrong!

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where  $\mathbf{H}$  is an inert constant and  $K$  is written using (*eq*)

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We describe a winning strategy for  $\forall$ :

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- $\forall$  answers  $T[\mathbf{H}].\alpha_0$ . Then, the second thread finishes on the process  $\mathbf{H} \star \alpha_0$ .
- Since the term  $\mathbf{H}$  was not played before by  $\forall$  and  $\exists$  cannot play again,  $\forall$  has win.



# The game $\mathbb{G}_1$

## Syntactic definition of $\mathbb{G}_1$

We represent the  $\exists$ -current position by **the set of the heads of all threads currently played**. The set of  $\forall$  played moves is  $\ell \subseteq \Pi$ .

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- By definition,  $P_{n'+1} = P_n \cup \{\phi(n') \star u.\pi\}$  for a suitable stack  $u.\pi$ .
- Then  $t \star u.\pi \notin \perp\!\!\!\perp$ ,  $u \not\Vdash \mathbb{X}$  and hence  $u \star \pi_0 \in \perp\!\!\!\perp$ .
- Taking  $m \geq n' + 1$ ,  $\langle P_m, \ell_m \rangle \in W'_{u_0.\pi_0}$ , which leads a contradiction.



## Completeness (cont.)

### Proof.

...

- Define  $P_\omega := \bigcup_{i \in \mathbb{N}} P_i$  and  $\perp\!\!\!\perp^c := \bigcup_{p \in P_\omega} \mathbf{th}(p)$
- $u_0 \not\Vdash \neg \mathbb{X} \Rightarrow \mathbb{X}$  since  $t_0 \Vdash (\neg \mathbb{X} \Rightarrow \mathbb{X}) \Rightarrow \mathbb{X}$ .
- Thus  $u_0 \star t.\pi_0 \in \mathbf{th}(p)$  for some  $t \Vdash \neg \mathbb{X}$ ,  $p \in P_n$  and  $n \in \mathbb{N}$ .
- Pick  $n' \geq n$  s.t.  $\phi(n') = t$ . We have  $p \in P_{n'}$  because  $P_{n'} \supseteq P_n$ .
- By definition,  $P_{n'+1} = P_n \cup \{\phi(n') \star u.\pi\}$  for a suitable stack  $u.\pi$ .
- Then  $t \star u.\pi \notin \perp\!\!\!\perp$ ,  $u \not\Vdash \mathbb{X}$  and hence  $u \star \pi_0 \in \perp\!\!\!\perp$ .
- Taking  $m \geq n' + 1$ ,  $\langle P_m, \ell_m \rangle \in W'_{u_0.\pi_0}$ , which leads a contradiction.



## conclusion

We have characterized the realizers of Peirce's Law, whenever the evaluation is compatible with substitutive constants, as the *uniform winning strategies* of a game  $\mathbb{G}_0$ .

The goal for  $\exists$  in  $\mathbb{G}_0$  is to put on execution a term  $u$  yet played by the opponent and restore the initial stack.

The uniformity means that, for each realizer, all the plays have the same length and finishes restoring the initial stack on the  $p$ -th *forall* move.

## Conclusion (cont.)

We have characterized the realizers of Peirce's Law as the winning strategies of a game  $\mathbb{G}_1$ .

The goal for  $\exists$  in  $\mathbb{G}_1$  is to certify that one term  $u$  played by the opponent arrives at any time on execution, together with the initial stack  $\pi_0$ .

Here the uniformity is broken and  $\exists$  can restore the stack of some moves before they are played.